

Nottingham City Council Risk Register - Report Summary

| Ref. | Risk description | SR criteria | | | | | | Threat level (seriousness) & DoT | | | | DoT | Target Threat Level | Managing Accountability | | | |
|--------|---|-------------|----------|-------|------------|-------|--------------------|----------------------------------|-------------------------|---------------|------------|------------|---------------------|-------------------------|----------|--|---|
| | | Highest Pri | Corp Mit | Legal | Reputation | H & S | Citizen well-being | Financial | Date threat level & DoT | 2012/13 | | | | 2013/14 | | Corporate Director (Risk Owner) | Lead Director or Senior Colleague |
| | | | | | | | | | | Q4 | Q1 | | | Q2 | Q3 | | |
| SR6 | Failure to safeguard vulnerable children | | ✓ | ✓ | ✓ | | ✓ | ✓ | Date | March | Jun-13 | Oct-13 | Jan-14 | ↑ | Apr-14 | A. Michalska CD - Children & Families | H. Blackman Director Safeguarding |
| | | | | | | | | | Threat Level | 15 (3x5) | 15 (3x5) R | 15 (3x5) | 15 (3x5) | | 10 (2x5) | | |
| | | | | | | | | | DoT | Improving | Improving | Stable | Deteriorating | | | | |
| SR7a/b | Failure to reduce levels of crime and anti-social behaviour (ASB) | ✓ | ✓ | ✓ | | | ✓ | | Date | Mar-13 | Jun-13 | Oct-13 | Jan-14 | ↔ | Apr-14 | J. Kelly CD-Comm | E. Orrock Comm Safety Exec. Coordinator |
| | | | | | | | | | Threat Level | 12 (3x4) | 12 (3x4) | 12 (3x4) | 12 (3x4) | | 8 (2x4) | | |
| | | | | | | | | | DoT | Improving | Improving | Stable | Stable | | | | |
| SR8b | Failure to implement and embed effective information management structures, polices, procedures, processes and controls to support the council's immediate and future regulatory, legal, and business requirements (updated Q1 2013/14) | | | | | | ✓ | | Date | Updated risk | Jun-13 | Oct-13 | Jan-14 | ↔ | Apr-14 | C. Mills Deputy Chief Exec. / CD-Res | M. Gannon Director IT |
| | | | | | | | | | Threat Level | | 12 (3x4) C | 12 (3x4) | 12 (3x4) | | 9 (3x3) | | |
| | | | | | | | | | DoT | | N/A | Stable | Stable | | | | |
| SR11a | Failure to accurately predict and respond to financial pressures supporting the development and delivery of the medium term financial plan (updated Q1 2013/14) | | ✓ | ✓ | | | ✓ | | Date | Updated risk | Jun-13 | Oct-13 | Jan-14 | ↔ | Apr-14 | C. Mills Deputy Chief Exec. / CD-Res | T. Kirkham Strategic Finance Director |
| | | | | | | | | | Threat Level | | 12 (3x4) C | 12 (3x4) | 12 (3x4) | | 6 (3x2) | | |
| | | | | | | | | | DoT | | Stable | Stable | Stable | | | | |
| SR12a | Failure to provide the best educational outcome for children and opportunities for young people to access further education and skills training to contribute to the economic wellbeing of the City (under review) | ✓ | ✓ | ✓ | | | | | Date | Mar-13 | Mar-13 | Oct-13 | Jan-14 | ↔ | Apr-15 | A. Michalska CD - Children & Families | N. Lee Head of School Access & Imp Acting A. Conquer Head of Ed Partnerships |
| | | | | | | | | | Threat Level | 12 (3x4) R | 12 (3x4) | 12 (3x4) C | 12 (3x4) R | | 8 (2x4) | | |
| | | | | | | | | | DoT | Deteriorating | Stable | Stable | Stable | | | | |
| SR30 | Failure to create an organisational environment that supports delivery of Council priorities (new risk added Q1 2013/14) | | ✓ | ✓ | | | ✓ | | Date | New risk | Jun-13 | Oct-13 | Jan-14 | ↔ | Mar-14 | I. Curryer Chief Exec. | R. Henderson Head of Service Change & Improvement |
| | | | | | | | | | Threat Level | | 12 (3x4) C | 12 (3x4) | 12 (3x4) | | 9 (3x3) | | |
| | | | | | | | | | DoT | | N/A | Stable | Stable | | | | |
| SR26 | Failure to support Nottingham citizens and communities in minimising the negative impact of welfare changes | ✓ | | | | | ✓ | | Date | Mar-13 | Jun-13 | Oct-13 | Jan-14 | ↓ | Apr-14 | C. Mills Deputy Chief Exec. & CD-Res | L. Jones Head of Corporate Policy |
| | | | | | | | | | Threat Level | 16 (4x4) R | 16 (4x4) | 16 (4x4) | 12 (3x4) | | 9 (3x3) | | |
| | | | | | | | | | DoT | Stable | Stable | Stable | Improving | | | | |

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|--------------|---|------------------------|---------------------|------------------------|------------|-------|--------------------|-----------|----------------------------------|---------|---------|--------|--------|-----|---------------------|--|---|
| | | Highest Pri | Corp Mit | Legal | Reputation | H & S | Citizen well-being | Financial | Date threat level & DoT | 2012/13 | 2013/14 | | | | | Corporate Director (Risk Owner) | Lead Director or Senior Colleague |
| | | | | | | | | | | Q4 | Q1 | Q2 | Q3 | | | | |
| SR28 | Failure to ensure a financially sustainable adult social care system to respond to significant increases in demand for care while protecting our most vulnerable citizens | | | | ✓ | | ✓ | ✓ | Date | Mar-13 | Jun-13 | Oct-13 | Dec-13 | ↓ | Mar-14 | A. Michalska CD - Children & Families | H. Jones - Director of Adult Assessment |
| Threat Level | 12 (4x3) | 12 (4x3) | 12 (4x3) | 12 (4x3) | 6 (2x3) | | | | | | | | | | | | |
| DoT | Stable | Stable | Stable | Improving | | | | | | | | | | | | | |
| SR3 | Failure to mitigate the impact of the economic climate on Nottingham City and its citizens | | | | ✓ | | ✓ | | Date | Mar-13 | Jun-13 | Oct-13 | Jan-14 | ↔ | Apr-12 | D. Bishop CD - Dev | N. Jenkins Head of Economic Development |
| Threat Level | 9 (3x3) | 9 (3x3) | 9 (3x3) | 9 (3x3) | 9 (3x3) | | | | | | | | | | | | |
| DoT | Stable AT TARGET | Stable AT TARGET | Stable AT TARGET | Stable AT TARGET | | | | | | | | | | | | | |
| SR25a | Failure to embed a corporate approach to commissioning, informed by citizen need, which drives delivery of improved services at significantly lower cost (updated Q4 2012/13) | | | | ✓ | | ✓ | ✓ | Date | Mar-13 | Jun-12 | Oct-13 | Jan-14 | ↓ | Mar-14 | A. Michalska CD - Children & Families | C. Brudenell Director of Quality and Commissioning |
| Threat Level | 12 (3x4) C | 12 (3x4) | 12 (3x4) | 9 (3x3) | 9 (3x3) | | | | | | | | | | | | |
| DoT | N/A | Improving | Stable | Improving AT TARGET | | | | | | | | | | | | | |
| SR2a | Of the reputation of the City | | ✓ | | ✓ | | | ✓ | Date | Mar-13 | Jun-13 | Oct-13 | Jan-14 | ↔ | Oct-12 | I. Curryer Chief Exec. | C. Richmond Dir Policy Partnerships & Comms |
| Threat Level | 6 (2x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | | | | | | | | | | | | |
| DoT | Stable AT TARGET | Stable AT TARGET | Stable AT TARGET | Stable AT TARGET | | | | | | | | | | | | | |
| SR10 | Failure to maintain good standards of governance | | ✓ | | ✓ | | | ✓ | Date | Jan-13 | Jun-13 | Sep-13 | Jan-14 | ↔ | Mar-13 | C. Mills Deputy Chief Exec. & CD-Res | G. O'Connell Director Legal & Democratic Services |
| Threat Level | 9 (3x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | | | | | | | | | | | | |
| DoT | Stable | Improving AT TARGET | Stable AT TARGET | Stable AT TARGET | | | | | | | | | | | | | |
| SR24 | Failure to ensure effective systems are in place to manage health and safety risks | | | ✓ | ✓ | ✓ | | ✓ | Date | Mar-13 | Jun-13 | Oct-13 | Jan-14 | ↔ | Dec-13 | C. Mills Deputy Chief Exec. & CD-Res | P. Millward Head of Service Emergency Planning |
| Threat Level | 9 (3x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | 6 (2x3) | | | | | | | | | | | | |
| DoT | Stable | Improving AT TARGET | Stable AT TARGET | Stable AT TARGET | | | | | | | | | | | | | |
| SR5a | Failure to safeguard vulnerable adults | | ✓ | ✓ | ✓ | | ✓ | ✓ | Date | Mar-13 | Jun-13 | Oct-13 | Jan-13 | ↓ | Oct 2014 | A. Michalska CD - Children & Families | H. Jones Dir Comm Inclusion E. Yardley Dir Access & Reablement |
| Threat Level | 8 (2x4) | 8 (2x4) | 8 (2x4) | 6 (2x3) | 6 (2x3) | | | | | | | | | | | | |
| DoT | Stable | Improving | Improving | Improving AT TARGET | | | | | | | | | | | | | |

DIRECTION OF TRAVEL (DoT):

Improving (reducing) threat level



Stable threat level



Deteriorating (increasing) threat level

